

Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)				(X)	(XI)=	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	6	50207195	0	0	50207195	72.1955	50207195	0	50207195	72.1929	0	72.1955	0	0	0	0	50207195
(B)	Public	11440	19336154	0	0	19336154	27.8045	19336154	0	19336154	27.8035	0	27.8045	0	0	NA	NA	18809713
(C)	Non Promoter - Non Public	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Total	11448	69543349	0	2500	69545849	100.0000	69545849	0	69545849	100.0000	0	100.0000	0	0	0	0	69018608

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family	4	378523	0	0	378523	0.5443	378523	0	378523	0.5443	0	0.5443	0	0	0	0	378523
	Shilpa P Sagar	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	329123
	Jyotsna J Bhatt	1	47600	0	0	47600	0.0684	47600	0	47600	0.0684	0	0.0684	0	0	0	0	47600
	Varun Jaidev Shroff	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	1300
	Tania Jaidev Shroff	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Any Other (Specify)	2	49828672	0	0	49828672	71.6512	49828672	0	49828672	71.6487	0	71.6512	0	0	0	0	49828672
	Bodies Corporate	2	49828672	0	0	49828672	71.6512	49828672	0	49828672	71.6487	0	71.6512	0	0	0	0	49828672
	Nerka Chemicals Pvt Ltd	1	49819612	0	0	49819612	71.6382	49819612	0	49819612	71.6356	0	71.6382	0	0	0	0	49819612
	R Shroff Consultants Pvt Ltd	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	9060
	Sub Total (A)(1)	6	50207195	0	0	50207195	72.1955	50207195	0	50207195	72.1929	0	72.1955	0	0	0	0	50207195
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	6	50207195	0	0	50207195	72.1955	50207195	0	50207195	72.1929	0	72.1955	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0.0032	0	0	NA	NA	2260
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	17	9536006	0	0	9536006	13.7123	9536006	0	9536006	13.7118	0	13.7123	0	0	NA	NA	9534156
	Ares Diversified	1	3171572	0	0	3171572	4.5606	3171572	0	3171572	4.5604	0	4.5606	0	0	NA	NA	3171572
	Resonance Opportunities Fund	1	1370000	0	0	1370000	1.9700	1370000	0	1370000	1.9699	0	1.9700	0	0	NA	NA	1370000
	Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	NA	NA	939602
	Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.3430	933938	0	933938	1.3429	0	1.3430	0	0	NA	NA	933938
	Acacia Partners, Lp	1	750000	0	0	750000	1.0785	750000	0	750000	1.0784	0	1.0785	0	0	NA	NA	750000
	Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	1.0353	0	0	NA	NA	720000
(f)	Financial Institutions / Banks	6	2220	0	0	2220	0.0032	2220	0	2220	0.0032	0	0.0032	0	0	NA	NA	2220
(g)	Insurance Companies	2	953290	0	0	953290	1.3708	953290	0	953290	1.3707	0	1.3708	0	0	NA	NA	953290
	Life Insurance Corporation Of India	1	895590	0	0	895590	1.2878	895590	0	895590	1.2878	0	1.2878	0	0	NA	NA	895590
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(i)	Any Other (Specify)	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Sub Total (B)(1)	29	10495576	0	0	10495576	15.0921	10495576	0	10495576	15.0916	0	15.0921	0	0	NA	NA	10493726
2	Central Government/ State Government(s)/ President of India										0.0000							
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
3	Non-Institutions										0.0000							
(a)	Individuals		0	0							0.0000			0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10411	5674434	0	0	5674434	8.1596	5674434	0	5674434	8.1593	0	8.1596	0	0	NA	NA	5300759
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	1744656	0	0	1744656	2.5087	1744656	0	1744656	2.5086	0	2.5087	0	0	NA	NA	1744656
	Arun C. Ashar		985000	0	0	985000	1.4164	985000	0	985000	1.4163	0	1.4164	0	0	NA	NA	985000
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e)	Any Other (Specify)	995	1421488	0	0	1421488	2.0440	1421488	0	1421488	2.0440	0	2.0440	0	0	NA	NA	1270572
	Trusts	1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	NA	NA	17920
	Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62
	Hindu Undivided Family	284	468068	0	0	468068	0.6731	468068	0	468068	0.6730	0	0.6731	0	0	NA	NA	468068
	Non Resident Indians (Non Repat)	96	39391	0	0	39391	0.0566	39391	0	39391	0.0566	0	0.0566	0	0	NA	NA	37115
	Non Resident Indians (Repat)	465	306224	0	0	306224	0.4403	306224	0	306224	0.4403	0	0.4403	0	0	NA	NA	157584
	Clearing Member	28	7690	0	0	7690	0.0111	7690	0	7690	0.0111	0	0.0111	0	0	NA	NA	7690
	Bodies Corporate	120	582133	0	0	582133	0.8371	582133	0	582133	0.8370	0	0.8371	0	0	NA	NA	582133
	Sub Total (B)(3)	11411	8840578	0	0	8840578	12.7123	8840578	0	8840578	12.7119	0	12.7123	0	0	NA	NA	8315987
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	11440	19336154	0	0	19336154	27.8045	19336154	0	19336154	27.8035	0	27.8045	0	0	NA	NA	18809713

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder	2	0	0	2500	2500	0	2500	0	2500	0.0036	0	0	0	0	NA	NA	1700
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	0	0	2500	2500	0	2500	0	2500	0.0036	0	0	0	0	NA	NA	1700

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO				Details of the registered owners				Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	548283327 534631713	United Kingdom		Nerka Chemicals Pvt Ltd		India		71.6356	71.6356	71.6356	Yes	Yes	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	548283327 534631713	United Kingdom		R Shroff Consultants Pvt Ltd		India		0.013	0.013	0.013	Yes	Yes	11-11-2010
3	Shilpa P Sagar ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	544906281	United Kingdom		Shilpa P Sagar	544906281	United Kingdom		0.4732	0.4732	0.4732	Yes	Yes	26-03-1973
4	Jyotsna J Bhatt ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)		India		Jyotsna J Bhatt		India		0.0684	0.0684	0.0684	Yes	Yes	18-10-1985

5	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	556805158	United Kingdom		Varun Jaidev Shroff	556805158	United Kingdom			0.0019	0.0019	0.0019	Yes	Yes	01-04-2004
6	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	518062687	United Kingdom		Tania Jaidev Shroff	518062687	United Kingdom			0.0007	0.0007	0.0007	Yes	Yes	01-10-2006

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